

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
LEIF LINDHOLM ) **BOX PCT**  
Application No.: Unassigned )  
Filed: December 31, 2001 ) Group Art Unit: Unassigned  
For: RECOMBINANT ADENOVIRUS ) Examiner: Unassigned  
)  
)  
)

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

No additional fee for submission of an IDS is required.  
 The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.  
 A certification under 37 C.F.R. § 1.97(e) is also enclosed.  
 A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.  
 Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.  
 A check in the amount of \$ \_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Benton S. Duffett, Jr.  
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Date: December 31, 2001

10/019566

531 Rec'd PCT... 31 DEC 2001

Patent

Attorney's Docket No. 003300-883

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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LEIF LINDHOLM )  
Application No.: (unassigned) )  
Filed: December 31, 2001 )  
For: RECOMBINANT ADENOVIRUS )  
                               )      Attention: DO/EO/US  
                               )      Group Art Unit: (unassigned)  
                               )      Examiner: (unassigned)

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicant submits information in conformance with 37 C.F.R. §§1.97 and 1.98.

This is a national phase filing of International Application No. PCT/SE00/01390, filed June 30, 2000.

Copies of the International Search Report dated November 13, 2000 and the International Preliminary Examination Report dated October 24, 2001 are provided. Applicant also provides copies of the five (5) items cited in the International Search Report.

In the International Preliminary Examination Report the claims were found to satisfy the criteria for novelty, inventive step, and industrial applicability.

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Application No. (unassigned)  
Attorney's Docket No. 003300-883  
Page 2

For the convenience of the Examiner, a form PTO-1449 is attached. It is requested that an Examiner-initialed copy of this form be returned to the undersigned once the above items are considered.

The examination and allowance of the Application are respectfully requested.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Benton S. Duffett Jr.  
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**Substitute for form 1449A/PTO**

**ATTORNEY'S DKT NO.**  
**003300-883**

APPLICATION NO  
10001  
Unassigned

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**APPLICANT**

Leif LINDHOLM

**FILING DATE**

December 31, 2001

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**GROUP**

## Unassigned

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	No
	WO9720575		PCT	12 June 1997	X	
	WO9720051		PCT	5 June 1997	X	
	WO9941359		PCT	19 August 1999	X	
	WO9626281		PCT	29 August 1996	X	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	Journal of Virology, Volume 71, No. 6, June 1997, Susan C. Stevenson et al., "Selective Targeting of Human Cells by a Chimeric Adenovirus Vector Containing a Modified Fiber Protein", pgs. 4782-4790.		
Examiner Signature		Date Considered	

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.